



Politecnico di Torino

Dipartimento di Ingegneria dell'Ambiente, del Territorio e delle Infrastrutture

DATA POOR VS. DATA RICH CASES FOR FLOOD HAZARD

EXPLOITING LOCAL AND REGIONAL DATA

Daniele Ganora

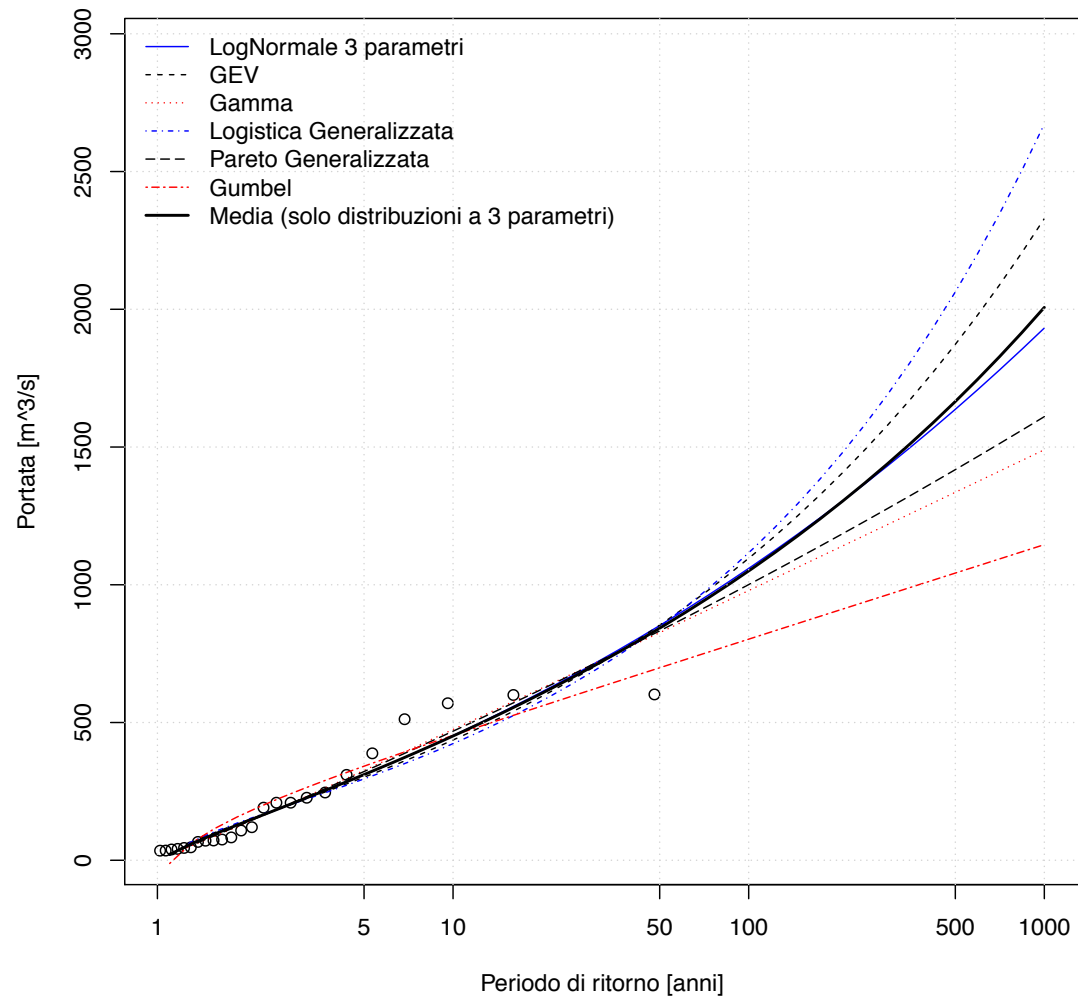
daniele.ganora @ polito . it



International Winter School on Hydrology

A classic problem in hydrology

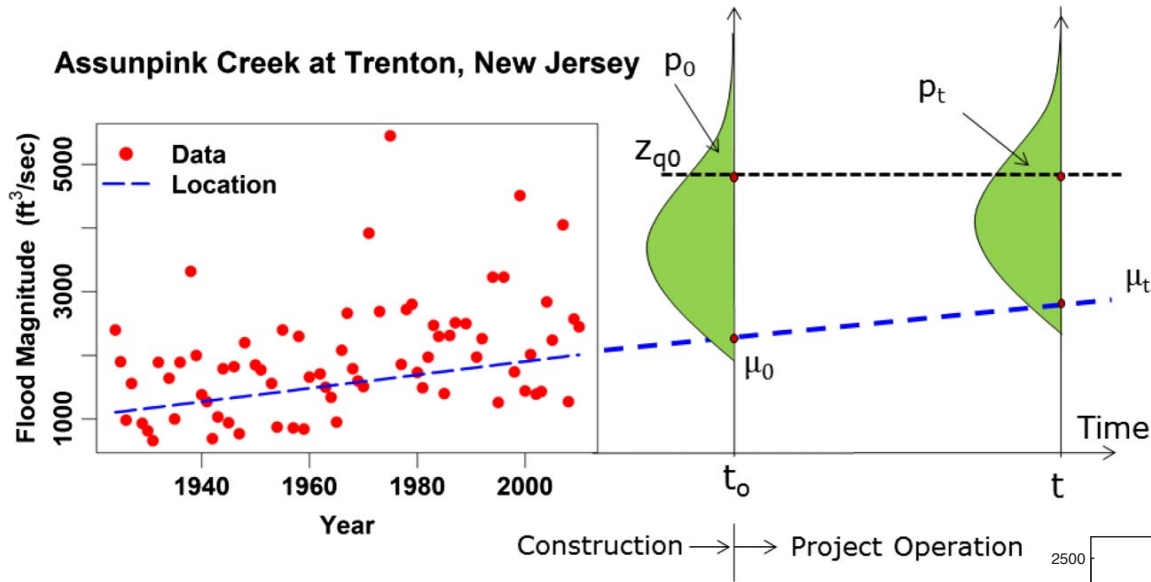
flood
frequency
distribution



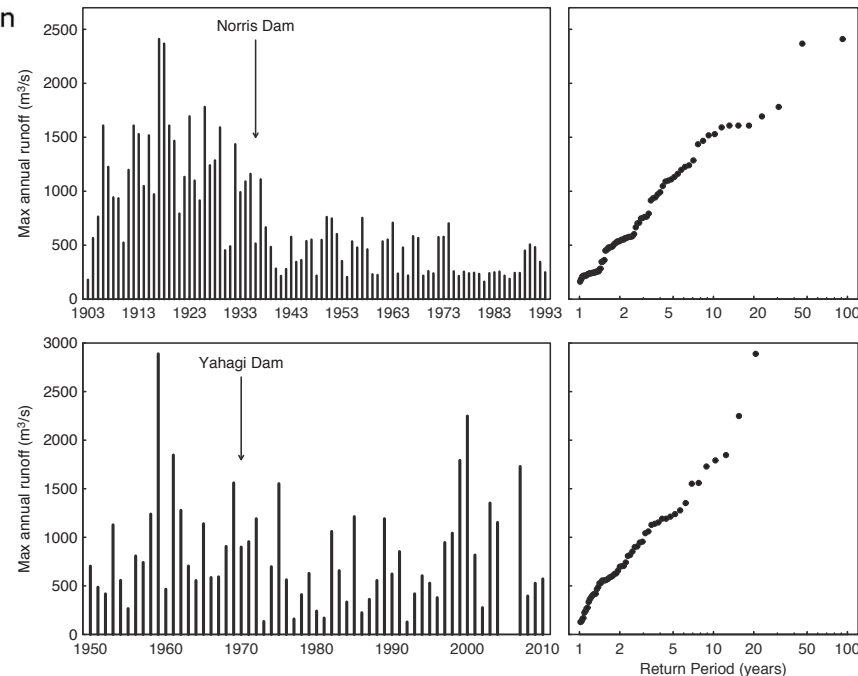
Flood flow for engineering design...



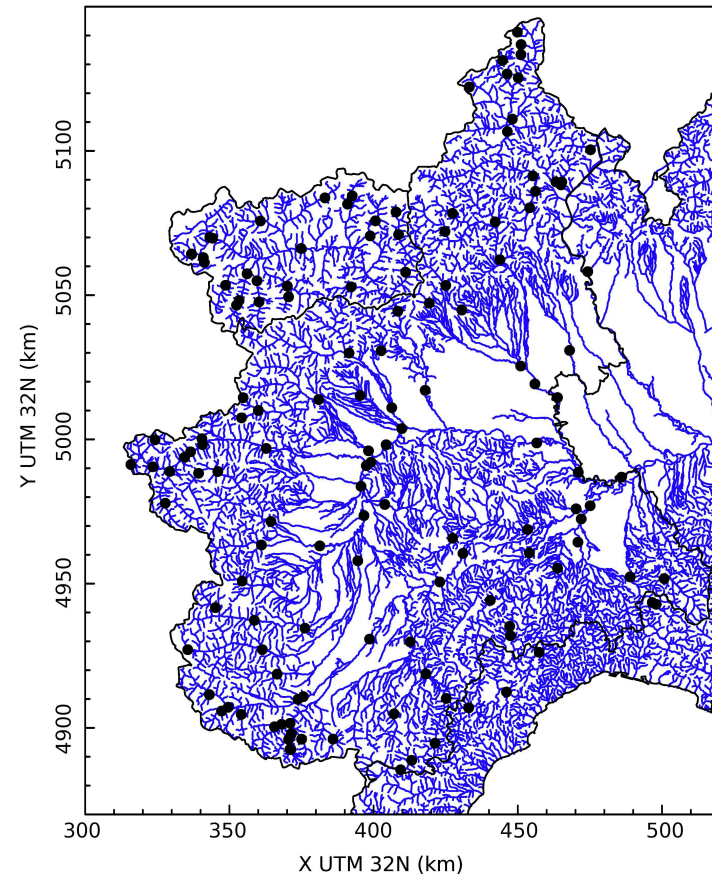
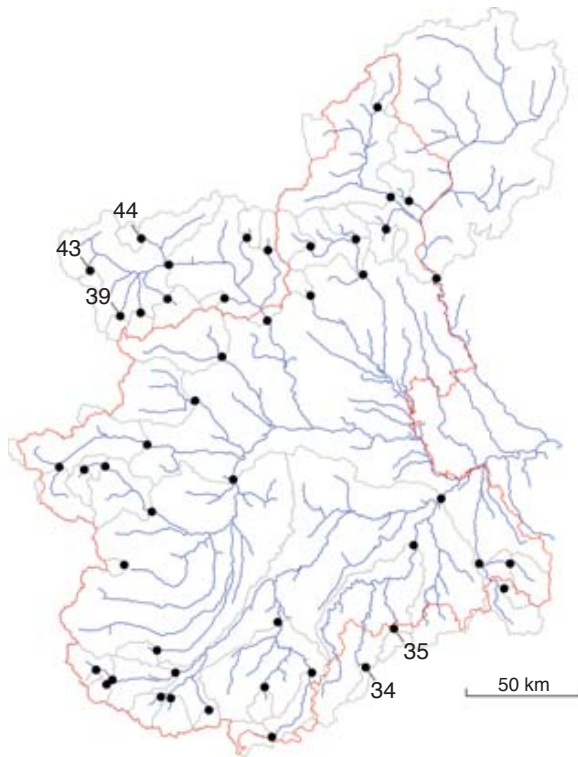
... and to understand changing systems



Quantifying the drivers and effects
of changes (climate, land, river
training, ...)



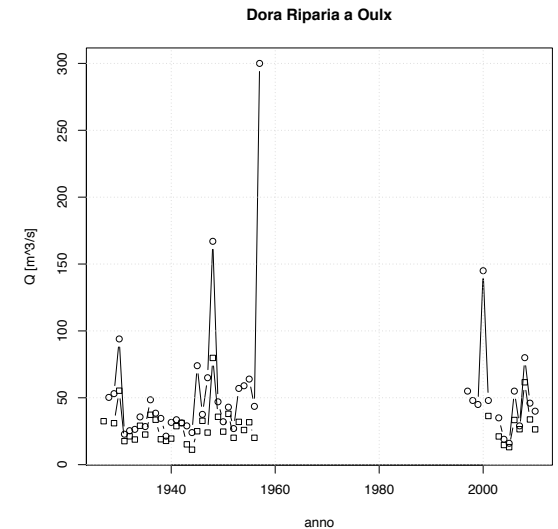
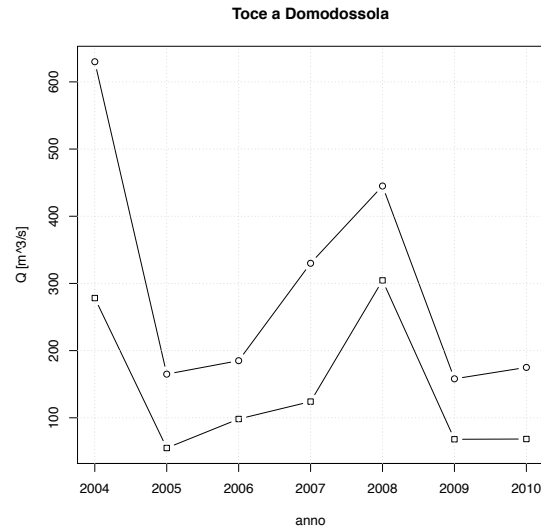
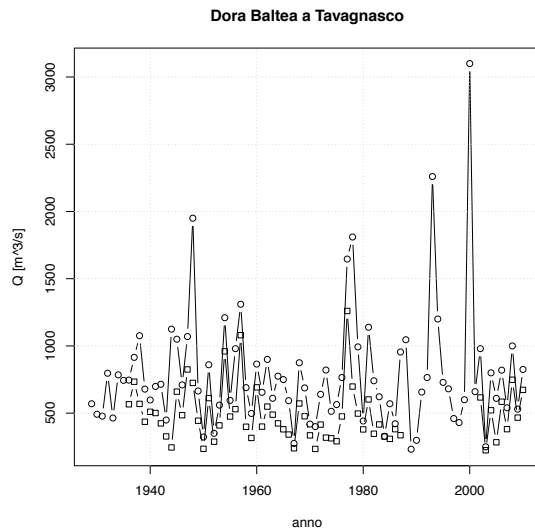
Observations in the place of interest?



Other possible issues

- Correlation of data
- Nestedness of catchments
- Non stationarity

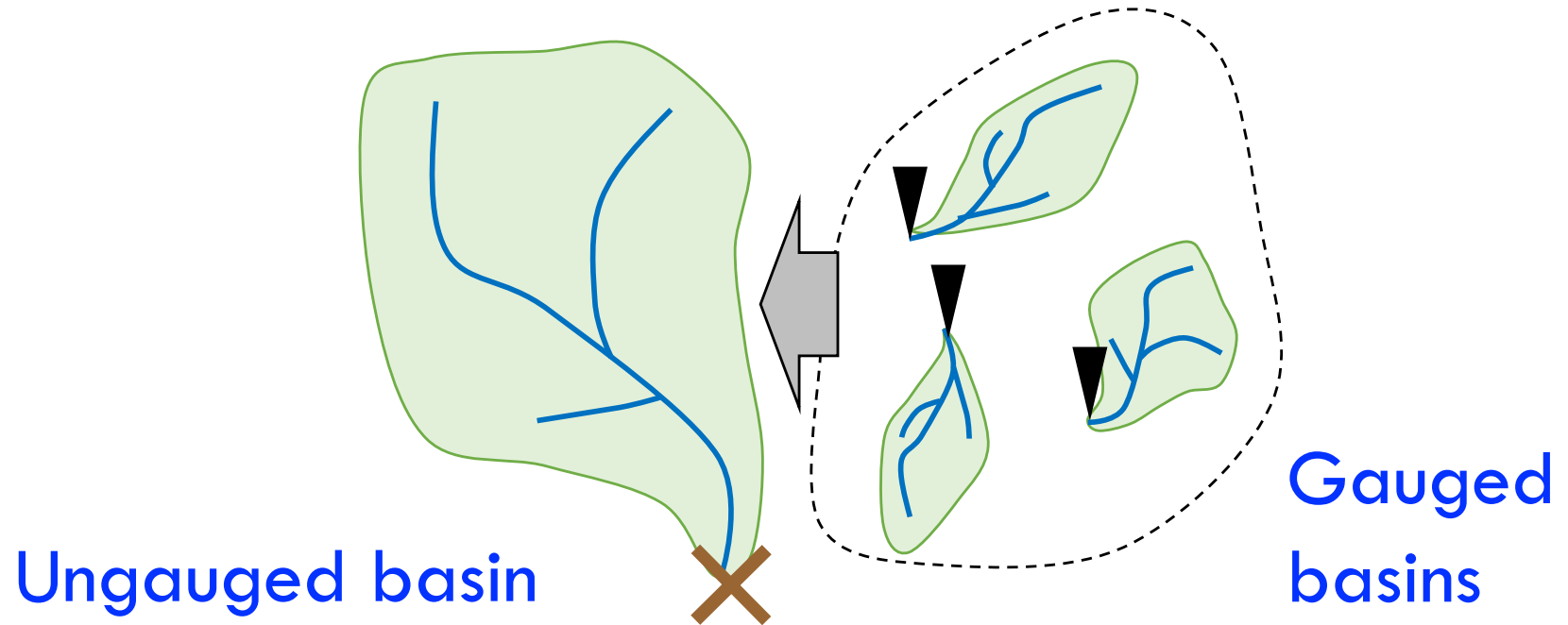
Observations in the period of interest?



Try to extend the record with:

- Non systematic data
- Proxy measures
- New sources of information

What if no observations available?



Try to transfer information

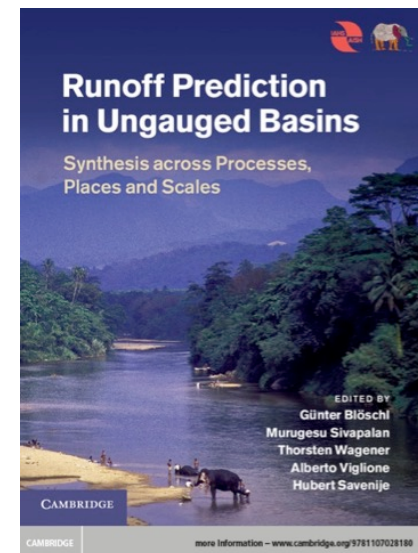
How? Regional models

Substitute time for space

time series

other "similar" catchments

- *regression methods*, where specific runoff signatures are transferred based on their relationship with catchment and climatic attributes via some analytical expression;
- *index methods*, which assume that a known, quantitative runoff, catchment or climatic signature is constant within a defined homogeneous region, except for a locally varying scaling index;
- *geostatistical and proximity methods*, which exploit spatial smoothness of the runoff signature. Here 'spatial' may refer to either geographic space or a parameter space defined by catchment attributes;
- *runoff estimation from short-records*, which exploits the relationship between moments of short runoff records and runoff in neighbouring catchments.



How? Regional models



There are many different flavors, many different implementations

Possible comparative approaches:

- Different models to the same **dataset**
- Same model across different **datasets**

Nat. Hazards Earth Syst. Sci., 14, 295–308, 2014
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doi:10.5194/nhess-14-295-2014
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Natural Hazards
and Earth System
Sciences



A data-based comparison of flood frequency analysis methods used in France

K. Kochanek¹, B. Renard², P. Arnaud³, Y. Aubert³, M. Lang², T. Cipriani², and E. Sauquet²

¹Institute of Geophysics, Polish Academy of Sciences, Kosciuszka Janusza 64, 01-452, Warsaw, Poland
²Istex Lyon, UR IHLY Hydrology-Hydraulics, 5 rue de la Doua CS70077, 69626 Villeurbanne CEDEX, France
³Istex Aix-En-Provence UR OHAX, 3275 Route de Cézeanne, CS 40061, 13182 Aix-en-Provence CEDEX 5, France

Correspondence to: K. Kochanek (kochanek@igf.edu.pl)

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Revised: 8 January 2014 – Accepted: 10 January 2014 – Published: 20 February 2014

Abstract. Flood frequency analysis (FFA) aims at estimating quantiles with large return periods for an extreme discharge variable. Many FFA implementations are used in operational practice in France. These implementations range from the estimation of a pre-specified distribution to continuous simulation approaches using a rainfall simulator coupled with a rainfall-runoff model. This diversity of approaches raises questions regarding the reliability of the results. In this paper, we evaluate the performance of the main FFA implementations in France. The results show that the reliability of the results is highly dependent on the choice of the method. The use of a rainfall-runoff model coupled with a continuous simulation approach is the most reliable method. The use of a pre-specified distribution is the least reliable method. The use of a rainfall-runoff model coupled with a continuous simulation approach is the most reliable method. The use of a pre-specified distribution is the least reliable method.

WATER RESOURCES RESEARCH, VOL. 49, 825–843, doi:10.1002/wrcr.20087, 2013

Data-based comparison of frequency analysis methods: A general framework


B. Renard,¹ K. Kochanek,^{1,2} M. Lang,¹ F. Garavaglia,³ E. Paquet,³ L. Neppel,⁴ K. Najib,⁴ J. Carreau,⁴ P. Arnaud,⁵ Y. Aubert,⁵ F. Borch,⁵ J.-M. Soubeyroux,⁶ S. Jourdain,⁶ J.-M. Veysseire,⁶ E. Sauquet,¹ T. Cipriani,¹ and A. Auffray⁶

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[1] An abundance of methods have been developed over the years to perform the frequency analysis (FA) of extreme environmental variables. Although numerous comparisons between these methods have been implemented, no general comparison framework has been agreed upon so far. The objective of this paper is to build the foundation of a data-based comparison framework, which aims at complementing more standard comparison schemes based on Monte Carlo simulations or statistical testing. This framework is based on the following general principles: (i) emphasis is put on the predictive ability of competing FA implementations, rather than their sole descriptive ability measured by some goodness-of-fit criterion; (ii) predictive ability is quantified by means of reliability indices, describing the consistency between validation data (not used

Hydrol. Earth Syst. Sci., 17, 2637–2652, 2013
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doi:10.5194/hess-17-2637-2013
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Hydrology and
Earth System
Sciences



Comparative assessment of predictions in ungauged basins – Part 2: Flood and low flow studies

J. L. Salinas¹, G. Laaha², M. Rogger¹, J. Parajka¹, A. Viglione¹, M. Sivapalan³, and G. Blöschl¹

¹Institute of Hydraulic Engineering and Water Resources Management, Vienna University of Technology, Vienna, Austria
²Institute of Applied Statistics and Computing, University of Natural Resources and Life Sciences, BOKU, Vienna, Austria
³Department of Civil and Environmental Engineering, University of Illinois at Urbana-Champaign, 205 North Mathews Ave., Urbana, USA

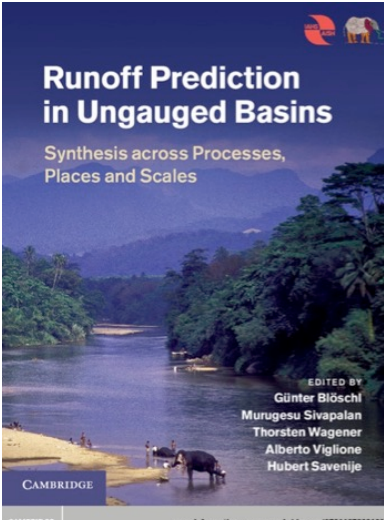
Correspondence to: J. L. Salinas (salinas@hydro.tuwien.ac.at)

Received: 21 December 2012 – Published in Hydrol. Earth Syst. Sci. Discuss.: 14 January 2013
Revised: 28 May 2013 – Accepted: 2 June 2013 – Published: 9 July 2013

Abstract. The objective of this paper is to assess the performance of methods that predict low flows and flood runoff in ungauged catchments. The aim is to learn from the similarities and differences between catchments in different places, and to interpret the differences in performance in terms of the underlying climate-landscape controls. The assessment is performed at two levels. The Level 1 assessment is a meta-analysis of 14 low flow prediction studies reported in the literature.

1 Introduction

Estimating flood and low flow discharges in ungauged basins are among the most fundamental challenges in catchment hydrology. There is a long track record in statistical hydrology of developing methods to estimate, in an optimal way, these discharges from runoff observations in neighbouring catch-



Runoff Prediction in Ungauged Basins
Synthesis across Processes, Places and Scales

EDITED BY
Günter Blöschl
Murugesu Sivapalan
Thorsten Wagener
Alberto Viglione
Hubert Savenije

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A numerical experiment

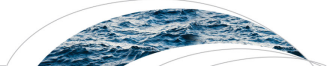

Use of (perfectly) known conditions



Simulate different realistic conditions (scenarios)

Original questions: can we identify the "best" strategy for regionalization?

Compare the behavior of different approaches to **true data**



Water Resources Research

RESEARCH ARTICLE **A comparison of regional flood frequency analysis approaches in a simulation framework**

10.1002/2016WR018604

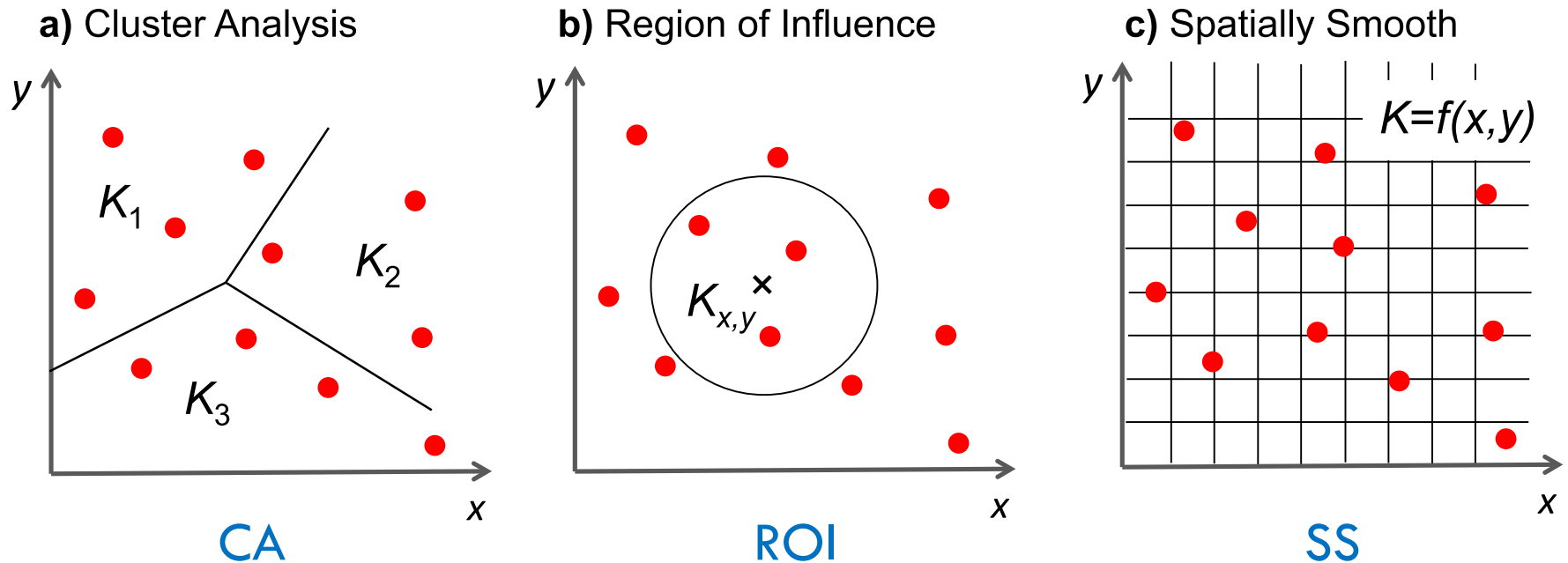
D. Ganora¹ and F. Laio¹

Key Points:

- Different regional approaches are compared in a simulation-based framework
- The regional models are applied to

¹Department of Environment, Land and Infrastructure Engineering, Politecnico di Torino, Torino, Italy

Regional approaches comparison



Plus 2 reference models:

- 1R = just averaging the results over the whole set
- SFA = at-site frequency analysis

Model implementations need to be reproducible and unsupervised

Flood frequency analysis

Choose the fitting distribution

$$Q_T = \theta_1 + \frac{\theta_2}{\theta_3} \left\{ 1 - \left[-\ln \left(1 - \frac{1}{T} \right) \right]^{\theta_3} \right\}$$

$\theta_1, \theta_2, \theta_3$

L1 (mean), L-CV, L-skew, L-kur

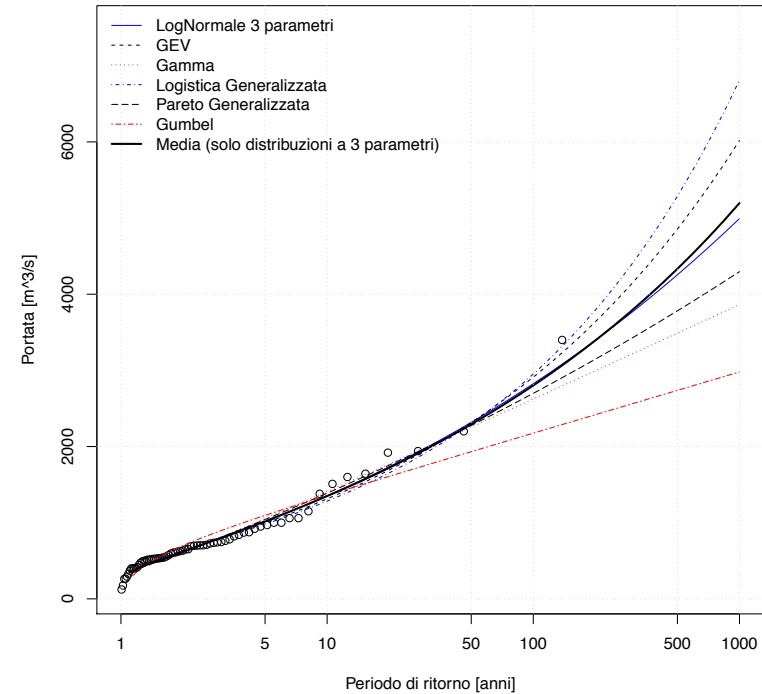
From local data
(at-site)

From CA

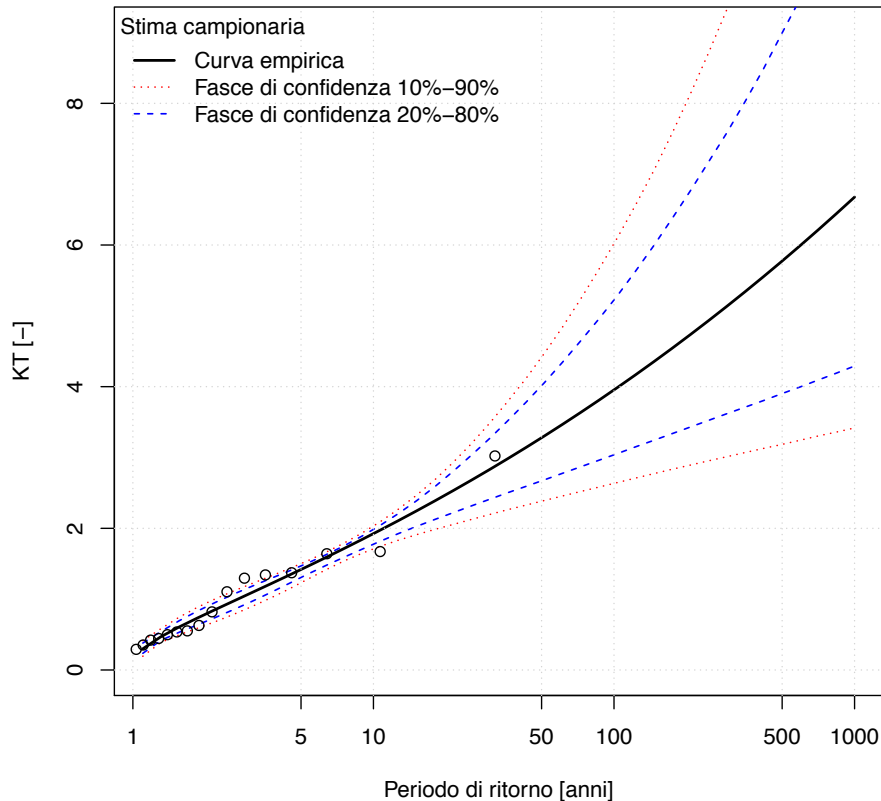
From ROI

From SS

From 1R
(reference)



Here we consider the growth curve



$$K_T = \frac{Q_T}{\bar{Q}}$$

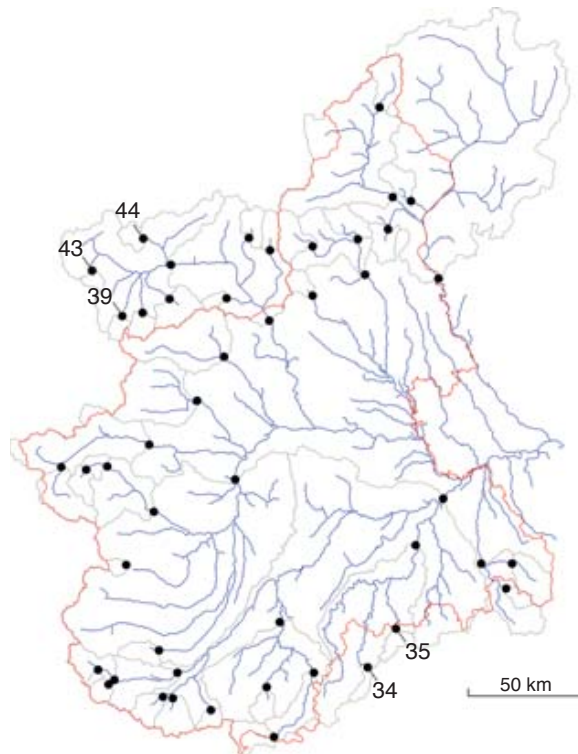
$$Q_T = \theta_1 + \frac{\theta_2}{\theta_3} \left\{ 1 - \left[-\ln \left(1 - \frac{1}{T} \right) \right]^{\theta_3} \right\}$$

$$\bar{Q} = \theta_1 + \theta_2 [1 - \Gamma(1 + \theta_3)] / \theta_3$$

e.g., GEV distribution

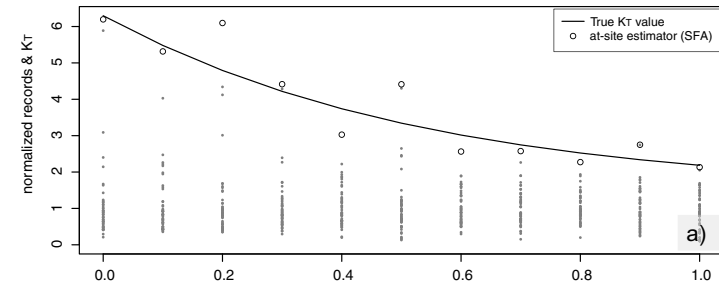
From the single site to the region

Real landscape



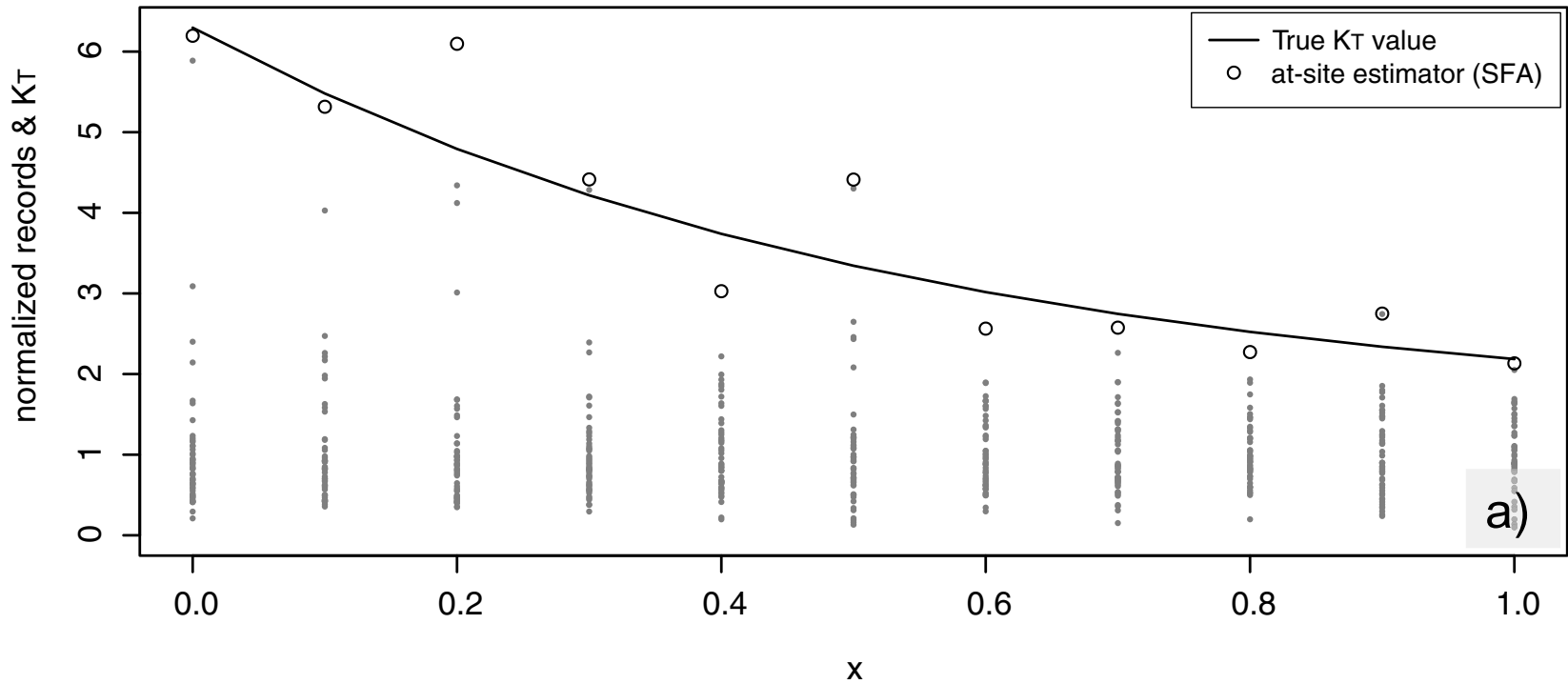
- Highly complex
- We have only 1 realization

Virtual landscape



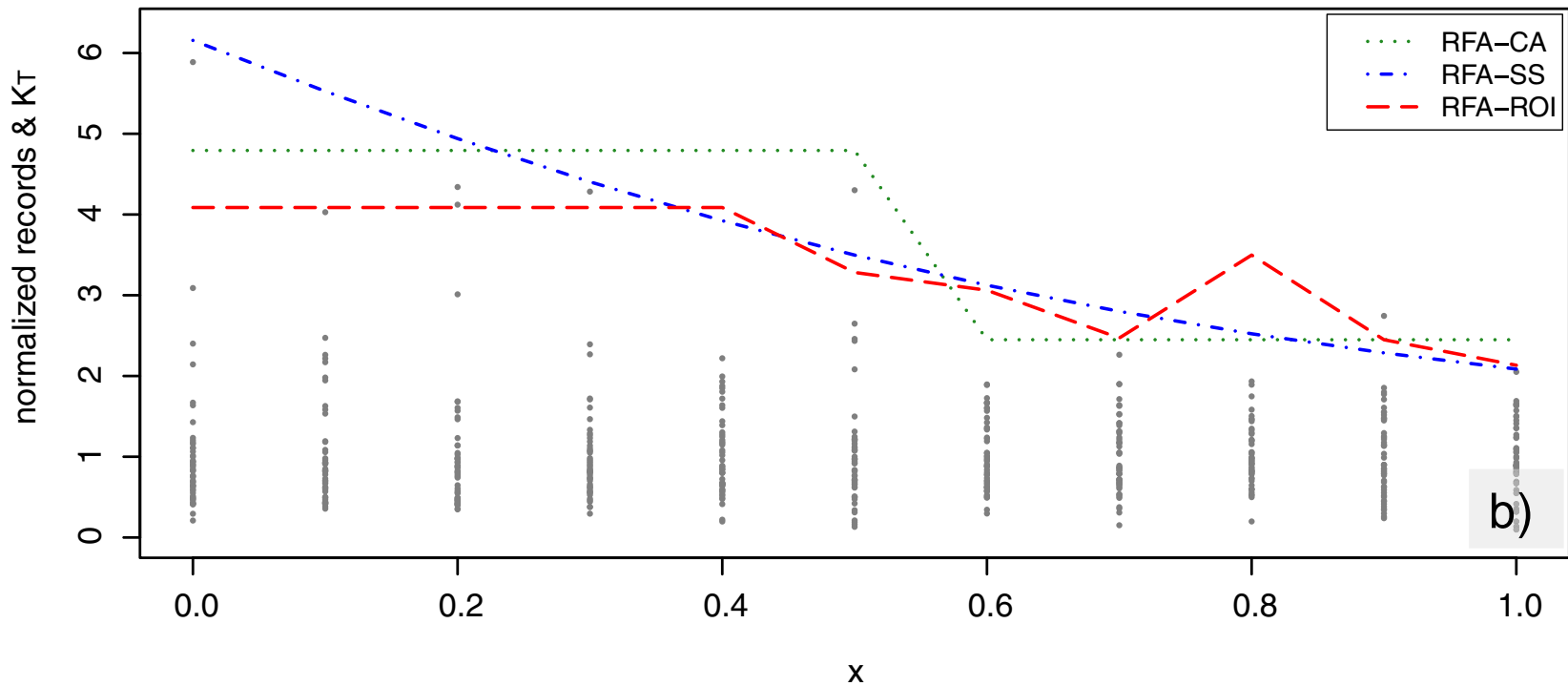
- Complexity defined by the hydrologist
- We simulate many realizations

Example of a single realization (of the whole landscape)

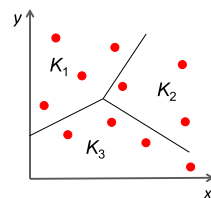


GEV distribution divided by the sample average (with $\theta_1=1$, $\theta_2=0.5$, $\theta_3=-0.4+0.6 \cdot x$).

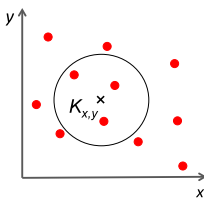
Example of application of the regional models to the single realization (of the whole landscape)



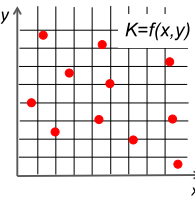
a) Cluster Analysis



b) Region of Influence



c) Spatially Smooth



Example of a single realization (of the whole landscape)

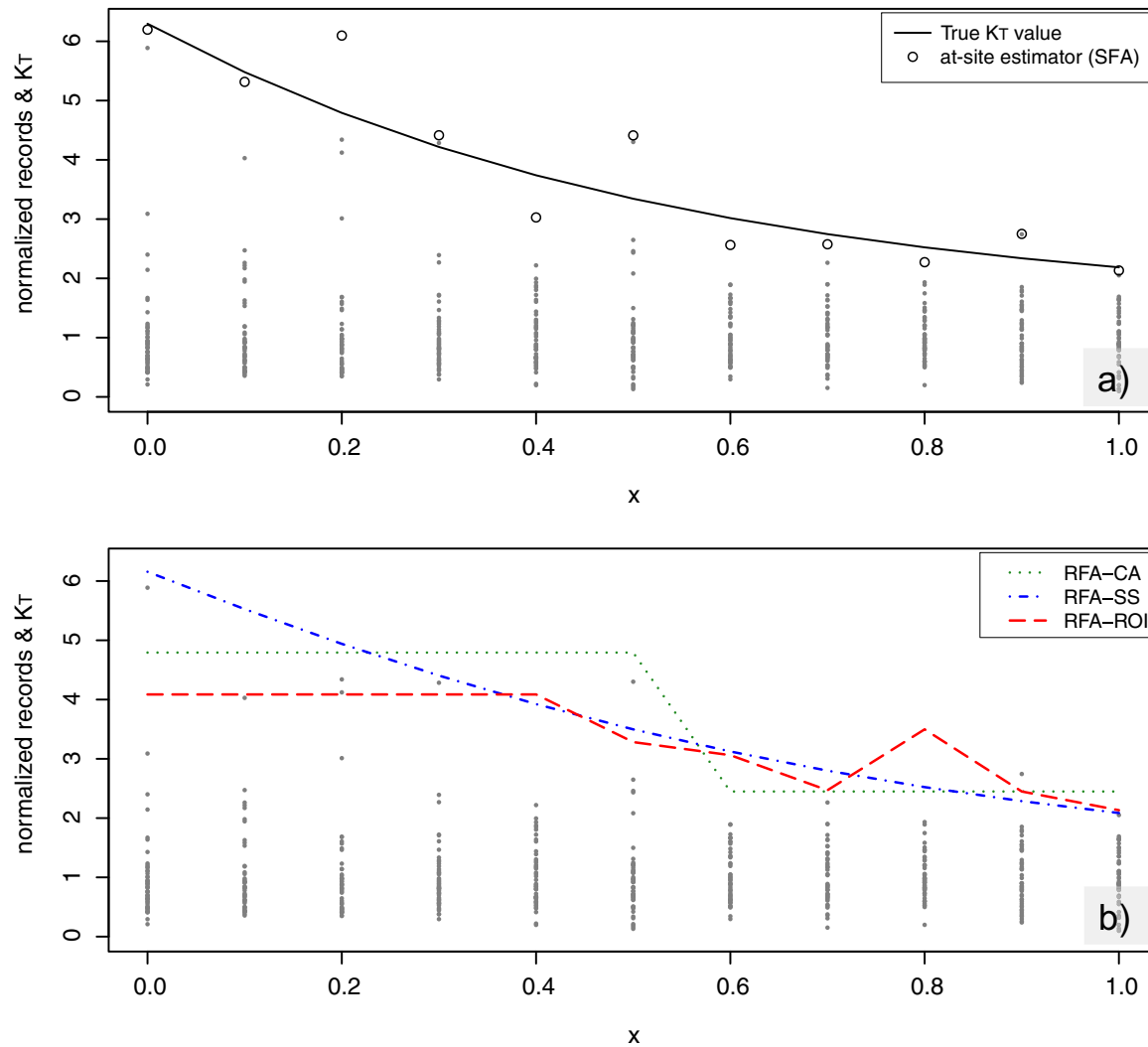


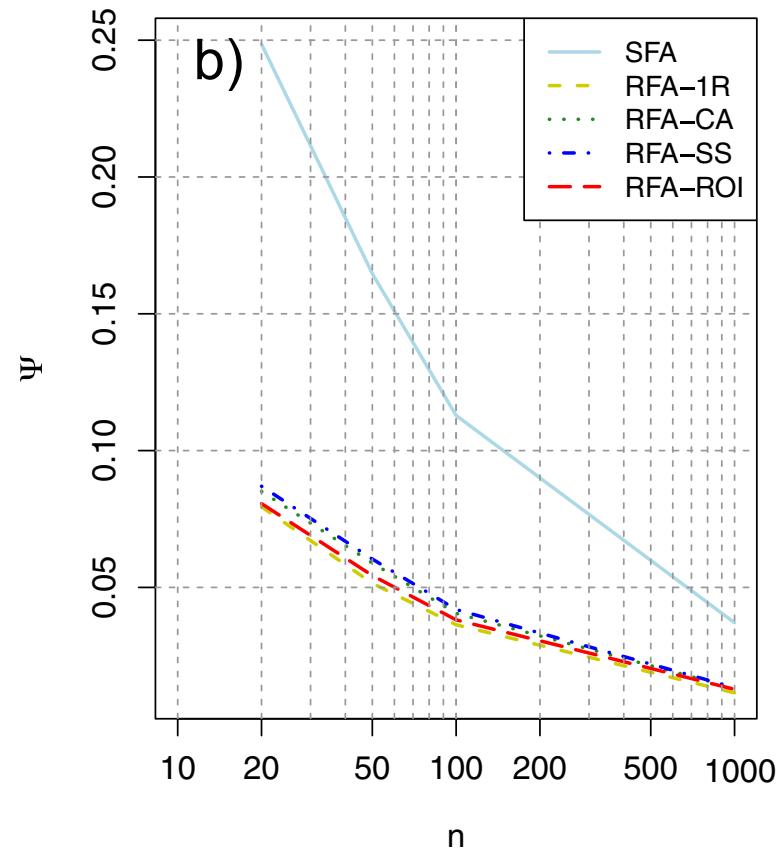
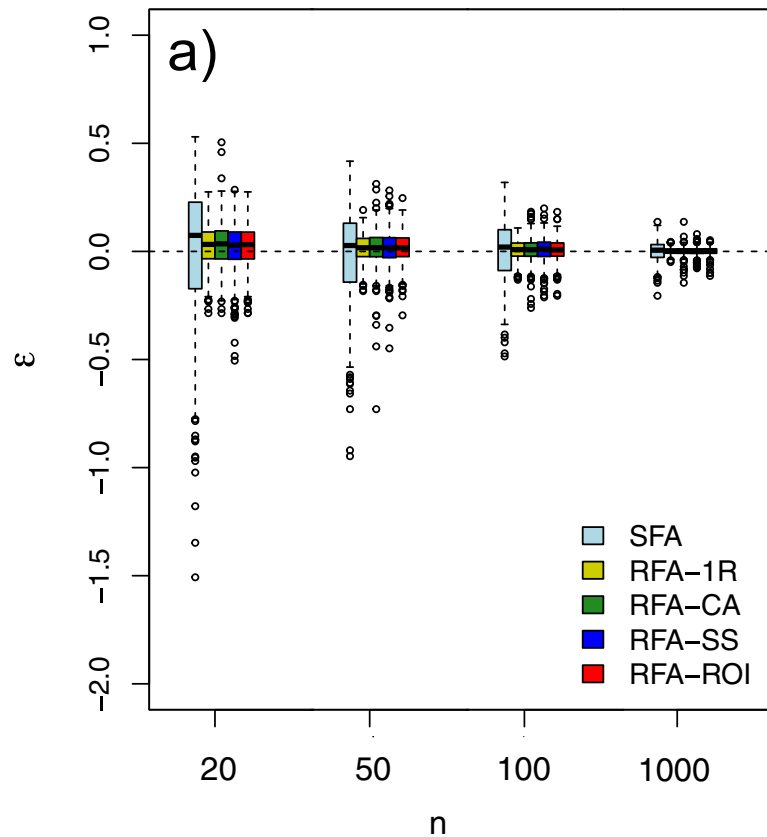
Figure 1. Example of simulation with 11 virtual gauging stations. Grey dots are the simulated data (i.e., the normalized annual maximum values) drawn from the GEV distribution divided by the sample average (with $\theta_1 = 1$, $\theta_2 = 0.5$, $\theta_3 = -0.4 + 0.6 \cdot x$). (a) The true value and the at-site estimator of K_T ; (b) the regional estimators. A return period $T = 200$ years is considered.

Scenario 0: true homogeneity

Relative error

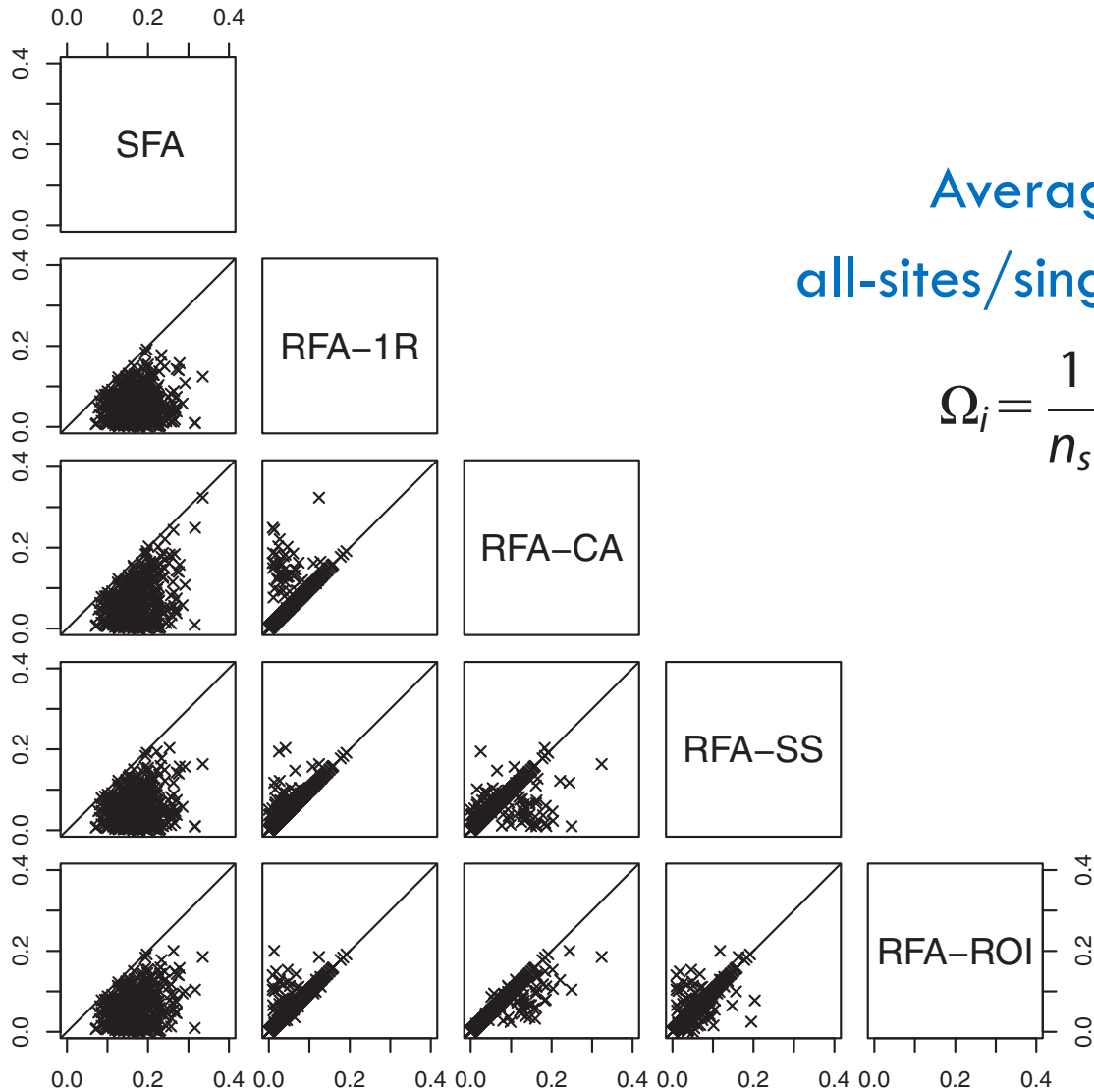
$$\varepsilon_{s,i} = \frac{K_{T;s} - \hat{K}_{T;s,i}}{K_{T;s}}$$

single-site/single-simulation



Average error
single-site/all-simulations

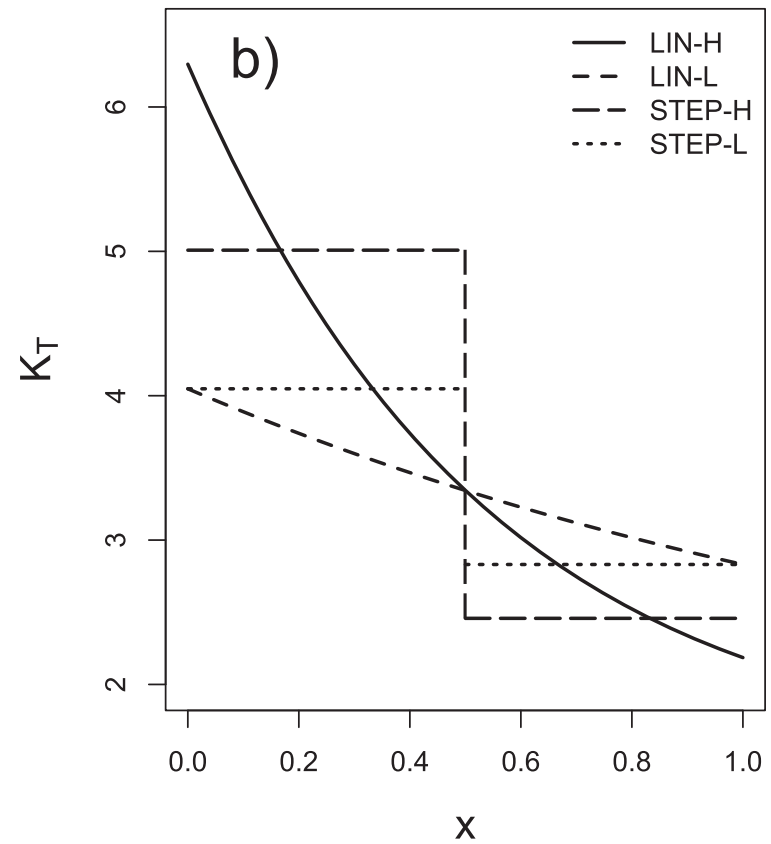
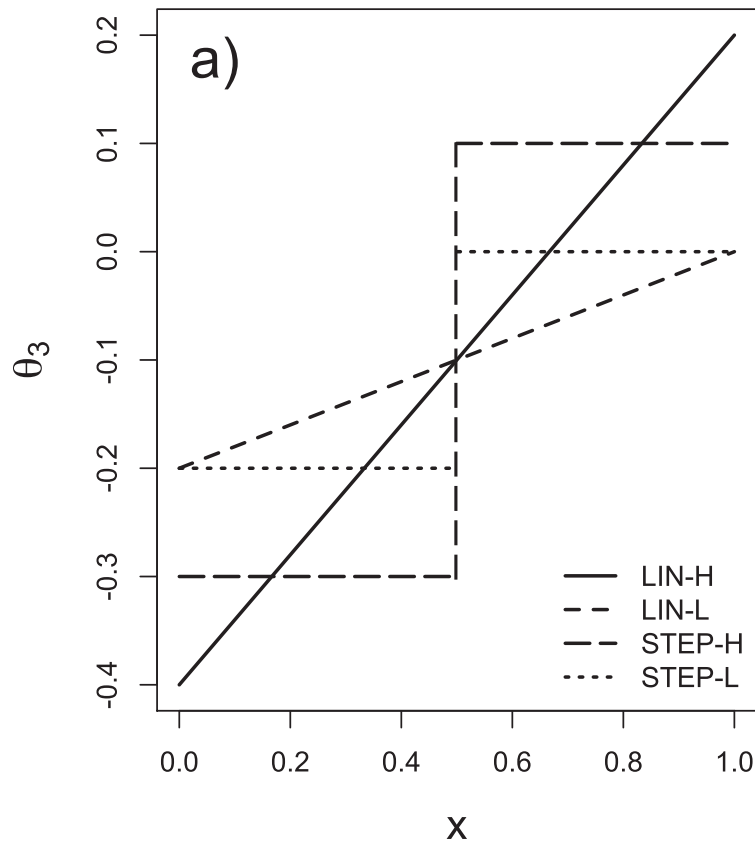
$$\Psi_s = \frac{1}{N} \sum_{i=1}^N |\varepsilon_{s,i}|$$



Average error
all-sites/single-simulation

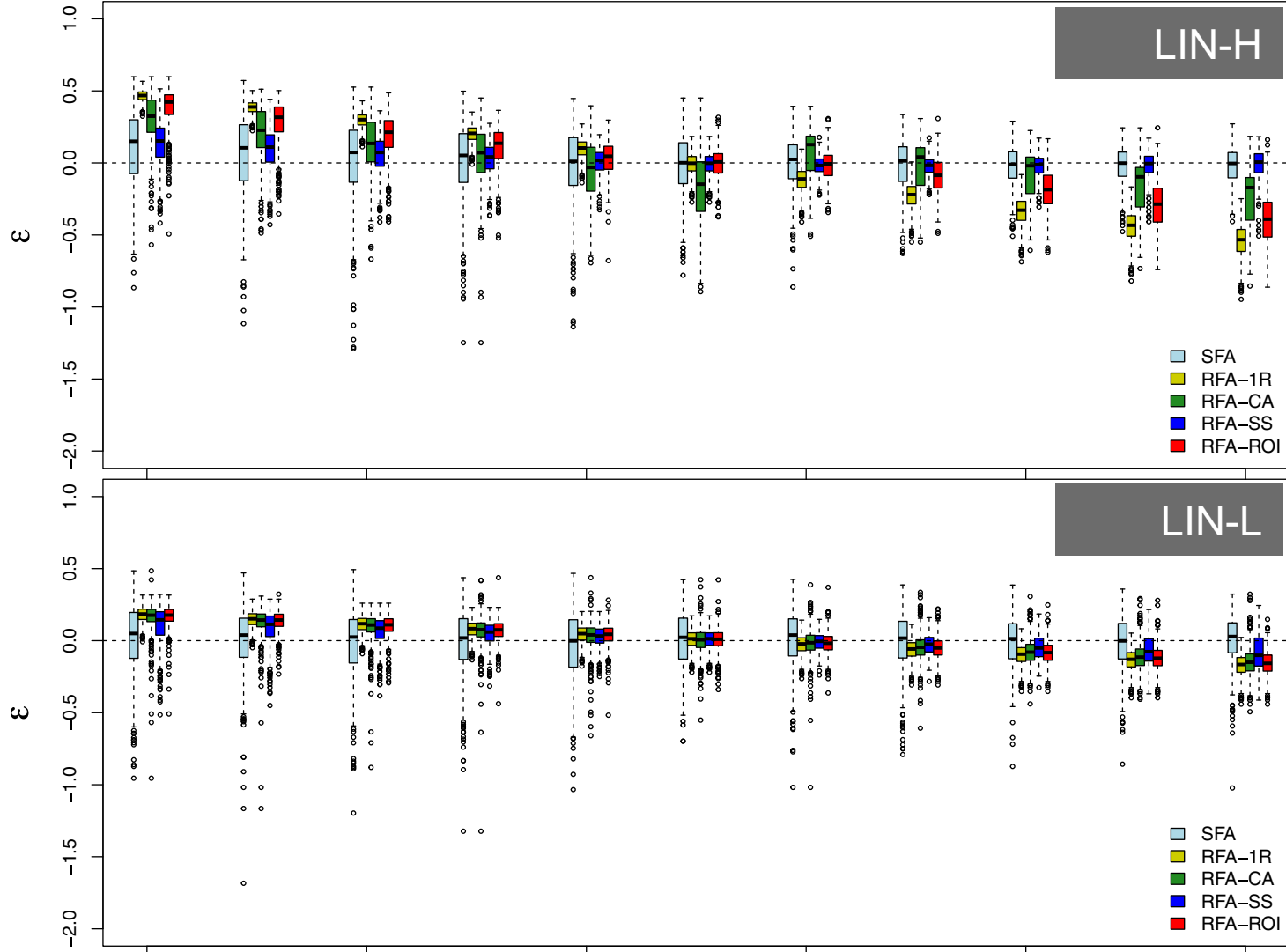
$$\Omega_j = \frac{1}{n_s} \sum_{s=1}^{n_s} |\varepsilon_{s,i}|$$

Scenarios with heterogeneity



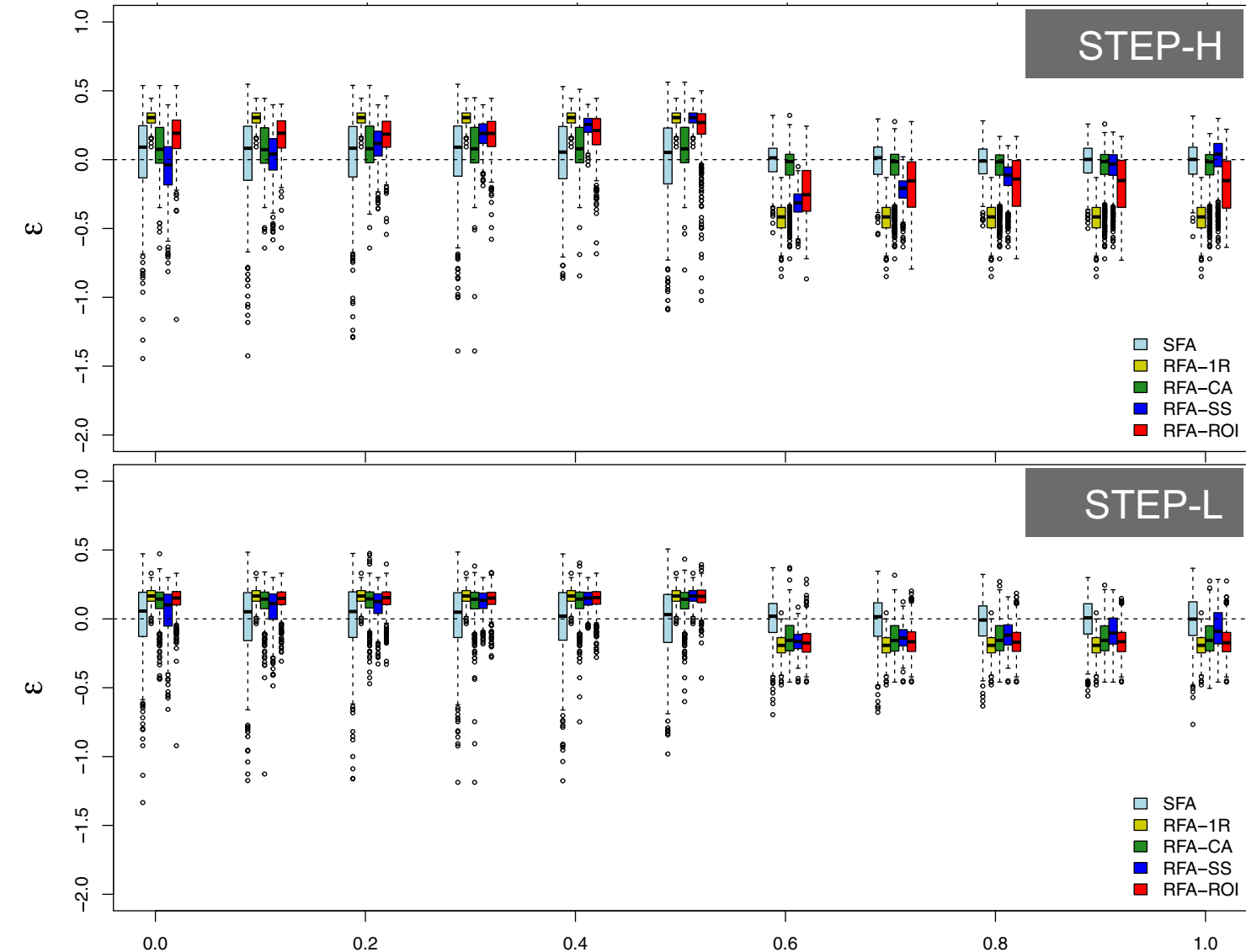
Shape parameter	$\theta_3 = -0.4 + 0.6 \cdot x$	LIN-H scenario
	$\theta_3 = -0.2 + 0.2 \cdot x$	LIN-L scenario
	$\theta_3^L = -0.3; \theta_3^R = 0.1$	STEP-H scenario ^a
	$\theta_3^L = -0.2; \theta_3^R = 0$	STEP-L scenario ^a

^a θ^L is valid for $0 \leq x \leq 0.5$ and θ^R for $0.5 < x \leq 1$.



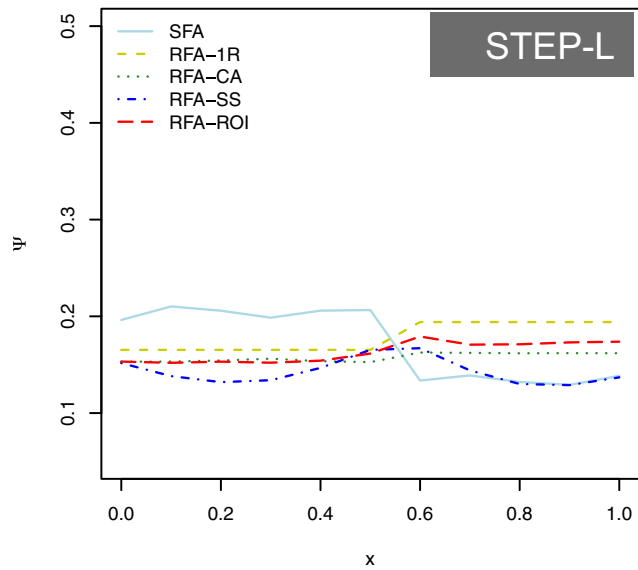
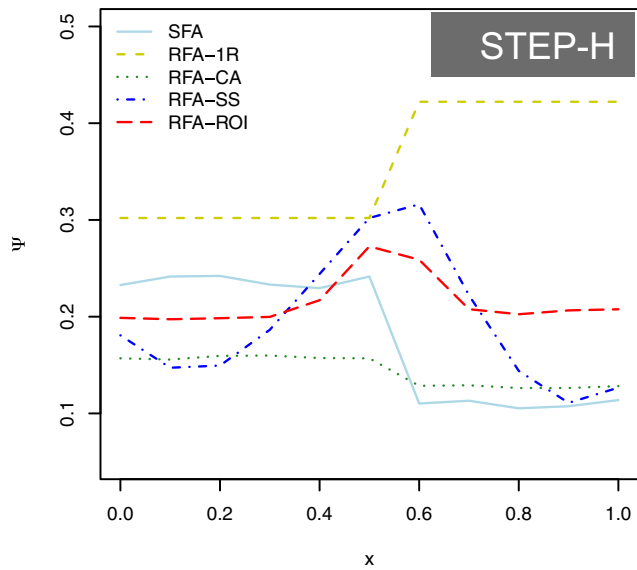
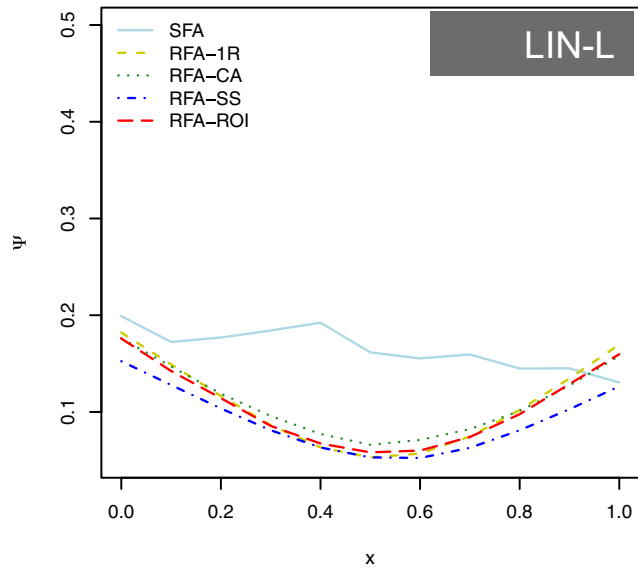
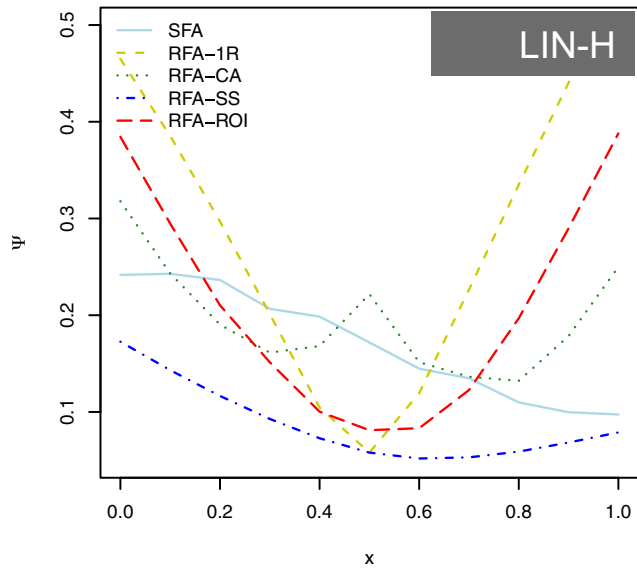
Relative error

single-site/single-simulation



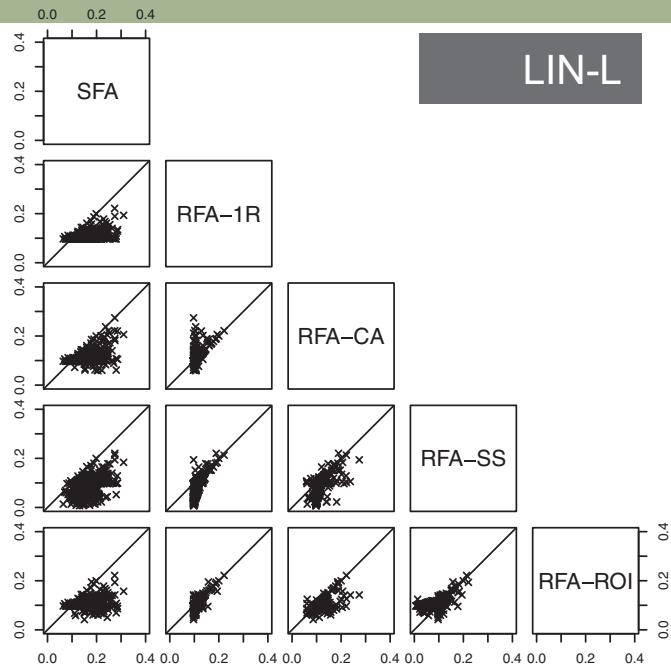
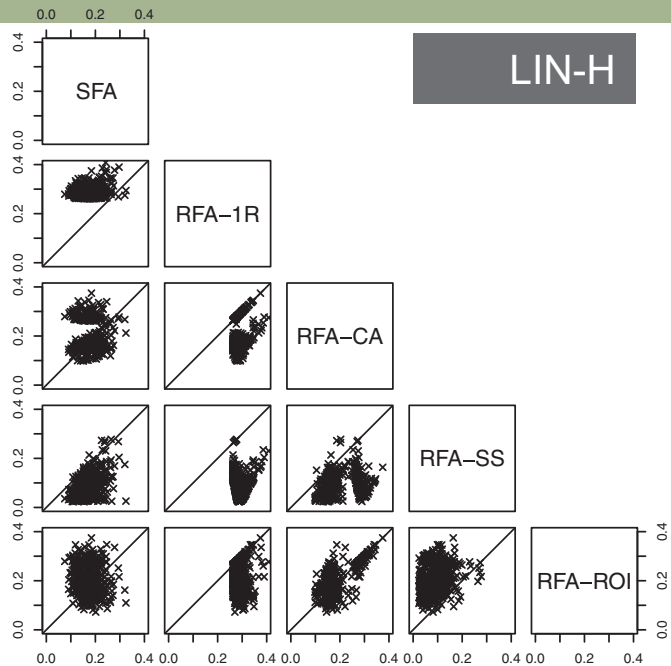
Relative error

single-site/single-simulation



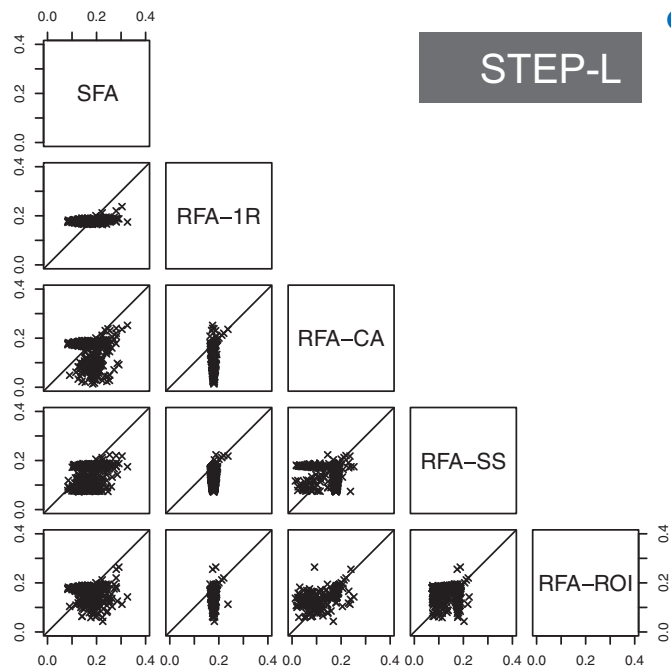
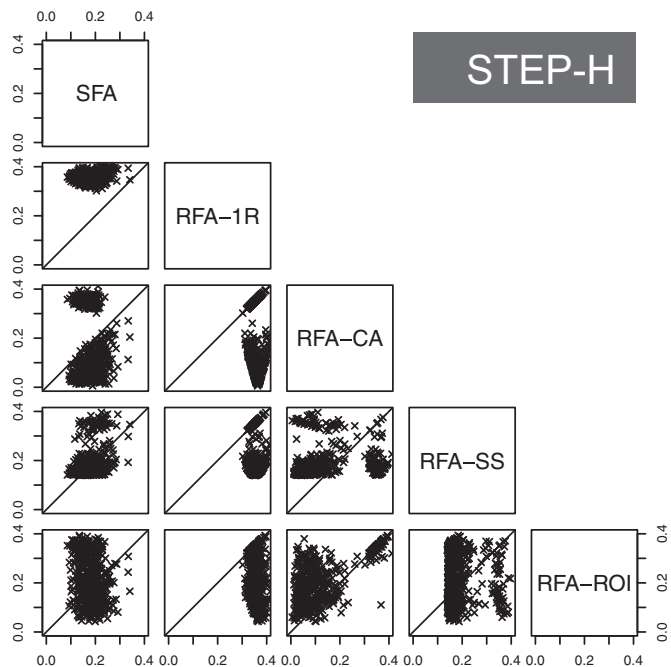
$$\Psi_s = \frac{1}{N} \sum_{i=1}^N |\varepsilon_{s,i}|$$

Average error
single-site/
all-simulations

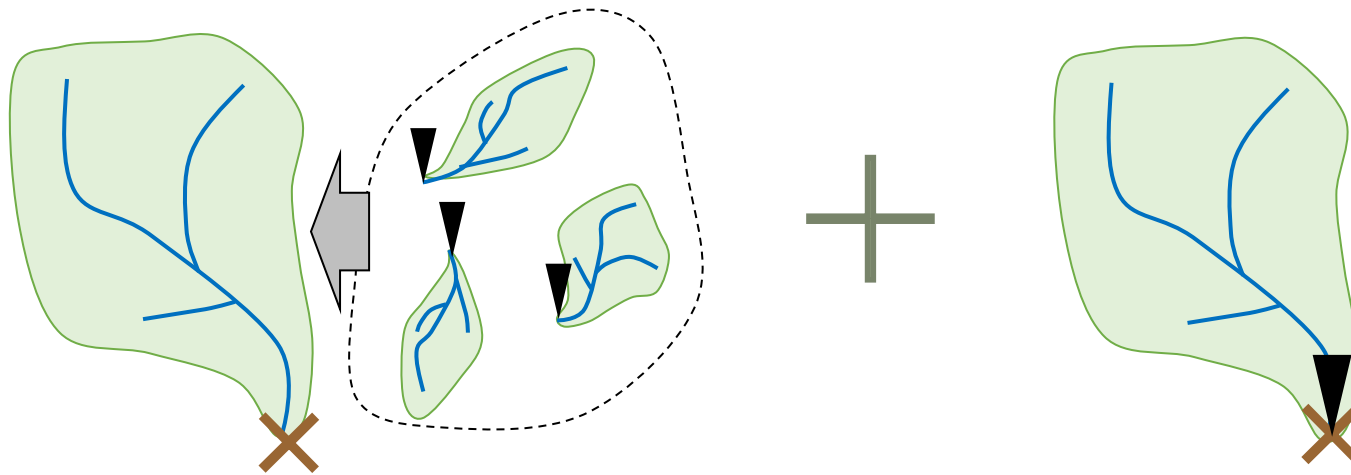


$$\Omega_j = \frac{1}{n_s} \sum_{s=1}^{n_s} |\varepsilon_{s,j}|$$

Average error
all-sites/single-simulation



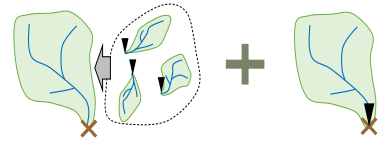
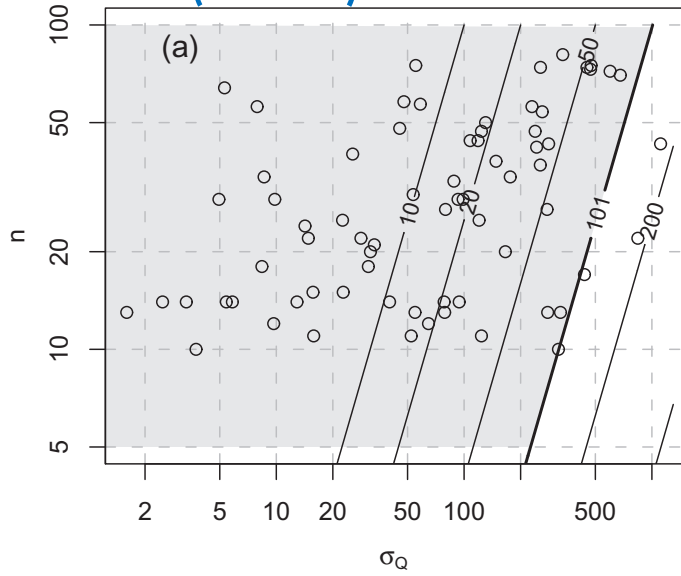
Regional + at-site



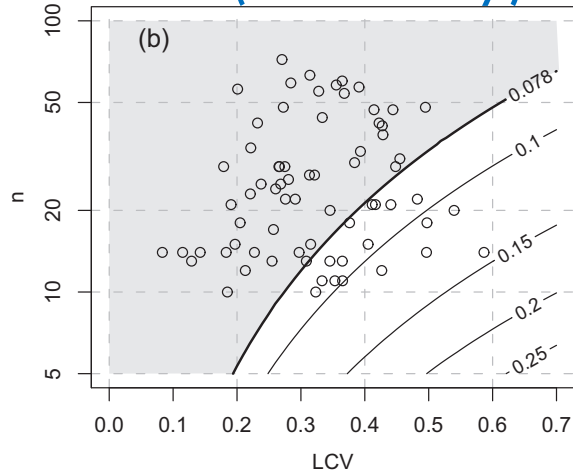
"Poorly" gauged basins = short time series
e.g., recently installed streamflow gauges or
"unconventional" measurements

Useful approach to update regional
models without recalibration

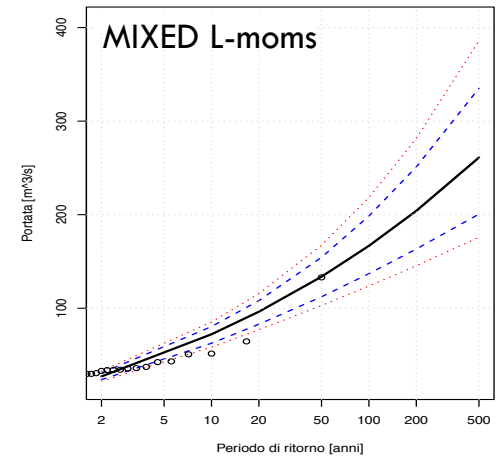
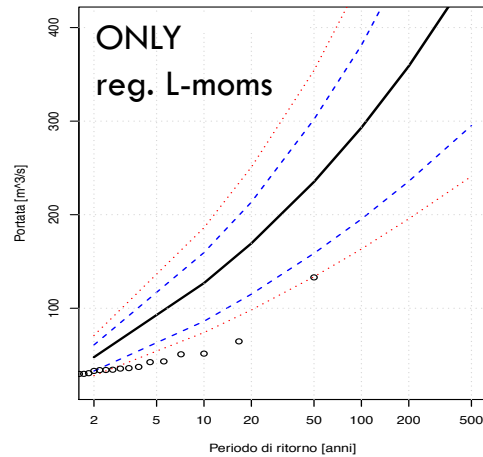
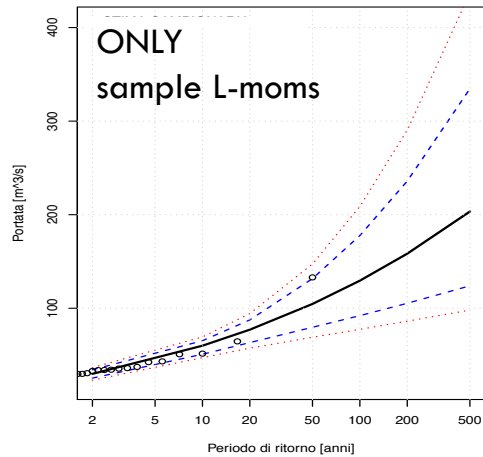
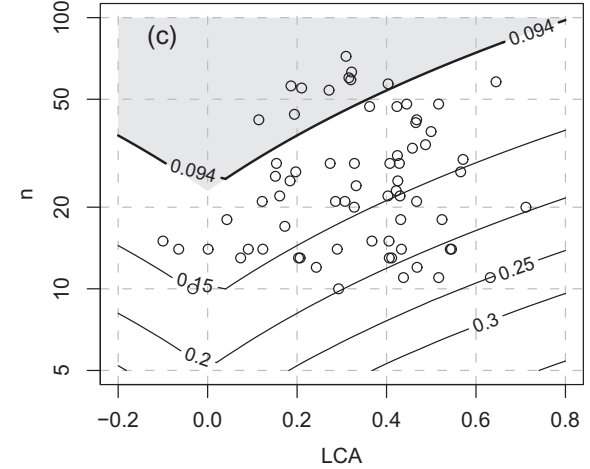
L1 (mean)



L-CV (variability)



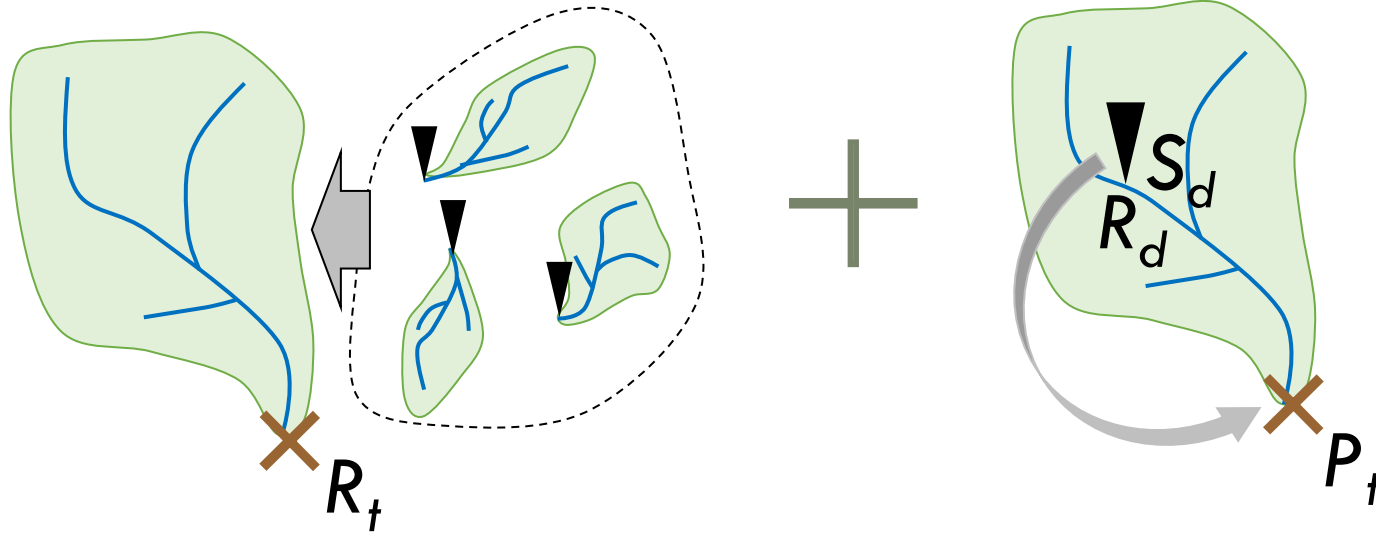
L-skewness



Regional + proximity

t = target site

d = donor site

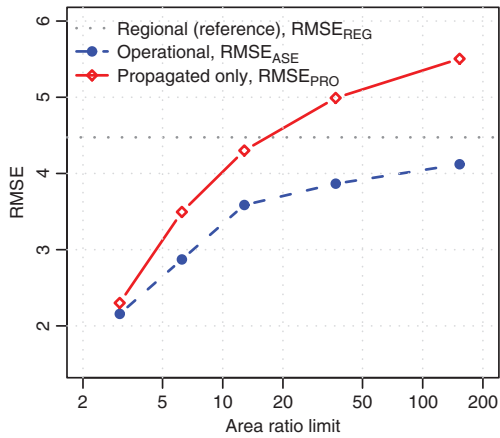


$$P_t = R_t \left(\frac{S_d}{R_d} \right)^{\alpha_{KJ}}$$

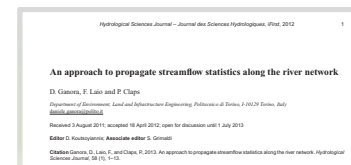
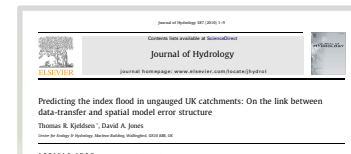
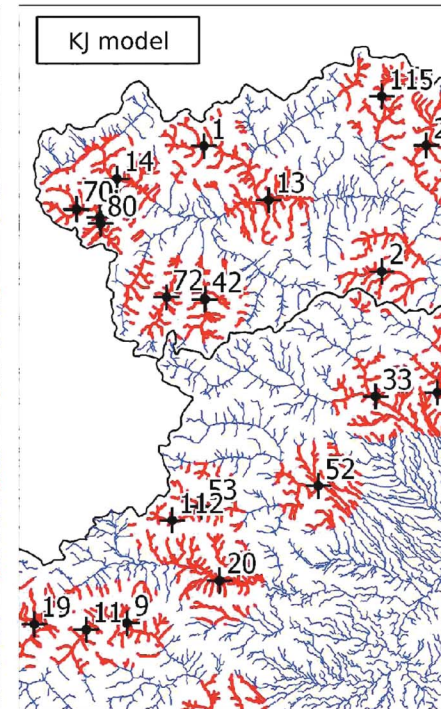
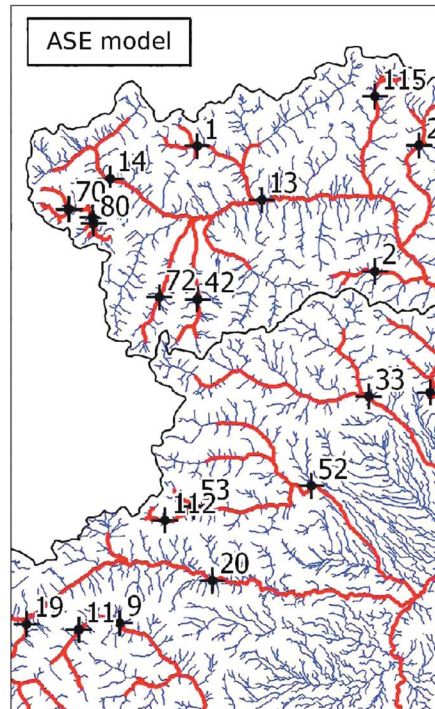
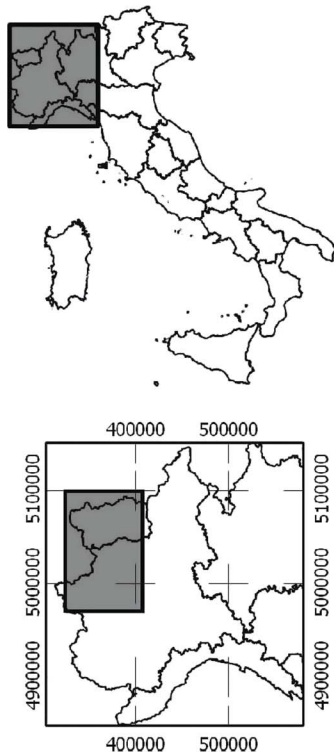
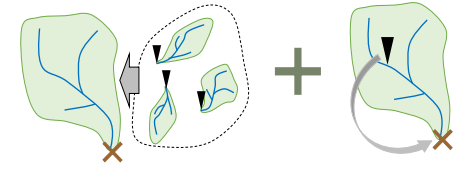
S = at-site (sample estimate)

R = Regional estimate

P = "propagated" estimate



Note: proximity correction performed only if it reduces uncertainty



Regional + local physical processes

